

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

5

Application Number 09/378,577
 Filing Date August 20, 1999
 First Named Inventor Wenyuan Shi
 Group Art Unit 1645
 Examiner Name R. ZEMAN
 Attorney Docket Number 2101363-991000

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
☐ Fee Attached
☐ Amendment/Reply
☐ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☐ Information Disclosure Statement

- ☐ Assignment Papers (for an Application)
☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition to Convert to a Provisional Application
☐ Power of Attorney, Revocation Change of Correspondence Address (2)
☐ Terminal Disclaimer
☐ Request for Refund
☐ CD, Number of CD(s)

- ☐ After Allowance Communication to Group
☐ Appeal Communication to Board of Appeals and Interferences
☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter

☒ Other Enclosure(s)
 (please identify below):

1. Request for Refund
 2. Copy of Decision on Petition mailed on 2-4-02
 3. return postcard

Remarks

- ☐ Certified Copy of Priority Document(s)
☐ Response to Missing Parts/ Incomplete Application
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☒ Customer Number or Bar Code Label

29585

(Insert Customer No. or Attach bar code label here)

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: February 27, 2002

By:

Nan Wu
 Reg. No. 43,360
 Attorneys for Applicant(s)
 139 Townsend Street, Suite 400
 San Francisco, CA 94107-1922
 Telephone No. (415) 836-2500

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231 on this date: Feb. 27, 2002

Typed or printed name Ta-Tanisha L. Moore

Signature

Ta. Moore

Date

February 27, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Attn.: Office of Finance, Refund Section, Assistant Commissioner for Patents, Washington, D.C. 20231, on Feb. 27, 2002.

Ta. Moore

Ta-Tanisha L. Moore

#241 Reg for
Refund
04-02-02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wenyan Shi, et al.

Serial No: 09/378,577

Group Art Unit: 1645

Filed: August 20, 1999

Examiner: R. ZEMAN

Title: METHOD FOR THE TREATMENT AND PREVENTION OF DENTAL CARIES

REQUEST FOR REFUND

Attn.: Office of Finance
Refund Section
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants have received a Decision on Petition Under 37 CFR 1.78(a)(6) in response to a petition for amending the priority claim of the above-identified U.S. patent application. The Decision deems the petition moot and states that "[p]etitioners are entitled to a refund of the instant petition fee." A copy of the Decision is attached for your convenience.

Accordingly, we request a refund of the petition fee minus the \$110.00 fee owed by the applicants for the Request for Continued Examination, i.e., a refund in the amount of \$1,170.00.

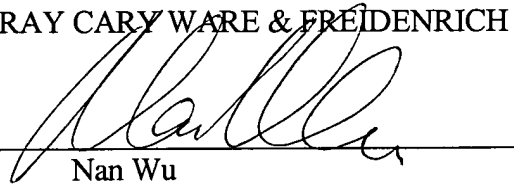
If there are any questions, please call Applicants' attorney at (415) 836-2531.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: February 27, 2002

By

A handwritten signature in black ink, appearing to read 'Nan Wu', is written over a horizontal line.

Nan Wu

Reg. No. 43,360

Attorney for Applicant

GRAY CARY WARE & FREIDENRICH
139 Townsend Street, Suite 400
San Francisco, CA 94107-1922
Telephone: (415) 836-2500